



As required under 37 C.F.R. § 1.217(c)(4), it is certified that the redacted copy of the application filed electronically eliminates only the part or description of the invention that is not contained in any application filed in a foreign country that corresponds to U.S. patent application No. 09/853,226.

Please also find enclosed the following items required under 37 C.F.R. § 1.217(d):

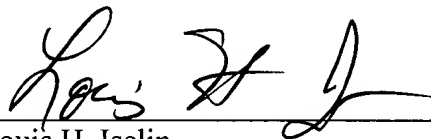
- (1) (a) Redacted copy of Patent Application Transmittal Letter of May 11, 2001;  
(b) Corrected copy of Patent Application Transmittal Letter of May 11, 2001;
- (2) (a) Redacted copy of Filing Receipt mailed 07/13/2001;  
(b) Corrected copy of Filing Receipt mailed 07/13/2001;
- (3) (a) Redacted copy of Request for Corrected Filing Receipt and Preliminary Amendments, mailed 08/31/2001;  
(b) Corrected copy of Request for Corrected Filing Receipt and Preliminary Amendments, mailed 08/31/2001;
- (4) (a) Redacted copy of Corrected Filing Receipt mailed 09/10/2001; and  
(b) Corrected copy of Corrected Filing Receipt mailed 09/10/2001.

As required under 37 C.F.R. § 1.217(d)(3), it is certified that the redactions of the copies of the correspondence with the Office are limited to the elimination of material that is relevant only to the part or description of the invention that is not contained in the redacted copy of the application submitted for publication of U.S. patent application No. 09/853,226.

Rule 217 fees of \$130.00 under Rule 17(i) are believed due. The Assistant Commissioner is hereby authorized to deduct said fees from Advanced Micro Devices, Inc.'s Deposit Account No. 01-0365/2000.039300/TT3766. Should any other fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason, the Assistant Commissioner is hereby authorized to deduct said any other fees from Advanced Micro Devices, Inc.'s Deposit Account No. 01-0365/2000.039300/TT3766. **A duplicate copy of this sheet is enclosed.**

The Examiner is invited to contact patent agent Mark Sincell at (713) 934-4052 with any questions, comments, or suggestions relating to the referenced patent application.

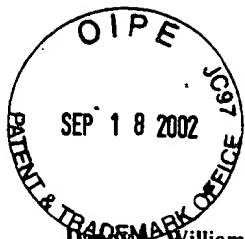
Respectfully submitted,



Louis H. Iselin  
Reg. No. 42,684

WILLIAMS, MORGAN & AMERSON  
7676 Hillmont, Suite 250  
Houston, Texas 77040  
(713) 934-4089

Date: September 13, 2002



Danny L. Williams  
Terry D. Morgan  
J. Mike Amerson  
Kenneth D. Goodman  
Jeffrey A. Pyle  
Randall C. Furlong, Ph.D.  
Jaison C. John

# WILLIAMS, MORGAN & AMERSON, P.C.

7676 HILLMONT, SUITE 250, HOUSTON, TX 77040  
(713) 934-7000 FAX (713) 934-7011

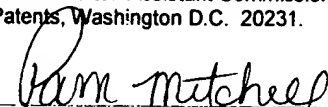
RECEIVED

NOV 12 2002

Technology Center 2100

Scott F. Diring\*  
George J. Oehling\*  
Shelley P.M. Fussey, Ph.D.\*  
Mark D. Moore, Ph.D.\*  
Louis H. Iselin, Ph.D.\*  
Raymund F. Eich, Ph.D.\*  
Bradley A. Miskey\*  
Thomas H. Belvin, Jr.\*  
Daren C. Davis\*  
Stephanie A. Wardwell, Ph.D.\*

\*Patent Agent

EXPRESS MAIL MAILING LABEL	
NUMBER	EL 798 364 633US
DATE OF DEPOSIT	May 11, 2001
I hereby certify that this paper or fee is being deposited with the United States Postal Service with sufficient postage "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington D.C. 20231.	
	
Signature	

May 11, 2001

FILE: 2000.039300/TT3766

LOUIS H. ISELIN, PH.D.  
(713) 934-4089

RECEIVED

NOV 14 2002

OFFICE OF PETITIONS

BOX PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, DC 20231

RE: *U.S. Patent Application Entitled "MECHANISM FOR CLOSING BACK DOOR ACCESS MECHANISMS IN PERSONAL COMPUTER SYSTEMS"*  
*Inventor(s): Geoffrey S. Strongin*  
*Client Reference: TT3766*

Sir:

Transmitted herewith for filing are:

- (1) [120] page patent specification with 53 claims and an abstract (also Figures [A-42B] on [73] sheets);
- (2) Declaration;
- (3) Assignment and Assignment Cover Sheet;
- (4) Power of Attorney;

**WILLIAMS, MORGAN & AMERSON, P.C.**

Assistant Commissioner for Patents

May 11, 2001

Page 2

- (5) Request for Certification under 35 U.S.C. 122(b)(2)(B)(i); and  
(6) Authorization to deduct amount of the total filing fee from deposit account (listed below).

All correspondence, notices, official letters and other communications should be directed to **Louis H. Iselin, Ph.D.**, Williams, Morgan & Amerson, P.C., 7676 Hillmont, Suite 250, Houston, TX 77040, and all telephone calls should be directed to **Louis H. Iselin, Ph.D.** at (713) 934-4089.

**FILING FEE CALCULATION**

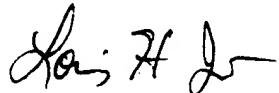
FOR			Small Entity	Large Entity
Total Claims	53 - 20 = 33		x \$9 = \$	or x \$18 = \$ 594.00
Independent Claims	14 - 3 = 11		x \$40 = \$	or x \$80 = \$ 880.00
Multiple Dependent Claim(s)			+ \$135 = \$	or + \$270 = \$
Basic Fee:			+ \$355 = \$	or + \$710 = \$ 710.00
Assignment Recording Fee:	(\$40 per assignee)		+ = \$	+ = \$ 40.00
<b>TOTAL FILING FEES</b>			<b>\$ 0.00</b>	<b>\$ 2,224.00</b>

Pursuant to 37 C.F.R. § 1.10 the Applicants request that the Patent and Trademark Office accept this application and accord a serial number and filing date as of the date this application is deposited with the U.S. Postal Service for Express Mail.

If the check is inadvertently omitted, or should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, or should an overpayment be included herein, the Assistant Commissioner is authorized to deduct or credit said fees from or to **Advanced Micro Devices, Inc.'s Deposit Account No. 01-0365/TT3766**. If there are insufficient funds in the **Advanced Micro Devices, Inc.'s Deposit Account No. 01-0365/TT3766** to cover said additional fees, the Assistant Commission is authorized to withdraw said additional fees from **Williams, Morgan & Amerson, P.C. Deposit Account No. 50-0786/TT3766**.

Please date, stamp and return the enclosed postcard to evidence receipt of these materials.

Respectfully submitted,



Louis H. Iselin, Ph.D.  
Reg. No. 42,684

kd  
Encl:



**WILLIAMS, MORGAN & AMERSON, P.C.**

7676 HILLMONT, SUITE 250, HOUSTON, TX 77040  
(713) 934-7000 Fax (713) 934-7011

Danny B. Williams  
Terry D. Morgan  
J. Mike Amerson  
Kenneth D. Goodman  
Jeffrey A. Pyle  
Randall C. Furlong, Ph.D.  
Jaison C. John

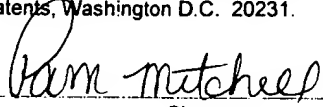
**RECEIVED**

**NOV 12 2002**

**Technology Center 2100**

Scott F. Diring\*  
George J. Oehling\*  
Shelley P.M. Fussey, Ph.D.\*  
Mark D. Moore, Ph.D.\*  
Louis H. Iselin, Ph.D.\*  
Raymund F. Eich, Ph.D.\*  
Bradley A. Misley\*  
Thomas H. Belvin, Jr.\*  
Daren C. Davis\*  
Stephanie A. Wardwell, Ph.D.\*

\*Patent Agent

EXPRESS MAIL MAILING LABEL	
NUMBER	EL 798 364 633US
DATE OF DEPOSIT	May 11, 2001
I hereby certify that this paper or fee is being deposited with the United States Postal Service with sufficient postage "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington D.C. 20231.	
	
Signature	

May 11, 2001

FILE: 2000.039300/TT3766

LOUIS H. ISELIN, PH.D.  
(713) 934-4089

BOX PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, DC 20231

RE: *U.S. Patent Application Entitled "MECHANISM FOR CLOSING BACK DOOR  
ACCESS MECHANISMS IN PERSONAL COMPUTER SYSTEMS"*  
Inventor(s): *Geoffrey S. Strongin*  
Client Reference: *TT3766*

Sir:

Transmitted herewith for filing are:

- (1) 21-page patent specification with 53 claims and an abstract (also Figures 1-8 on 9 sheets);
- (2) Declaration;
- (3) Assignment and Assignment Cover Sheet;
- (4) Power of Attorney;

**RECEIVED**

**NOV 14 2002**

**OFFICE OF PETITIONS**

**WILLIAMS, MORGAN & AMERSON, P.C.**

Assistant Commissioner for Patents

May 11, 2001

Page 2

- (5) Request for Certification under 35 U.S.C. 122(b)(2)(B)(i); and  
(6) Authorization to deduct amount of the total filing fee from deposit account (listed below).

All correspondence, notices, official letters and other communications should be directed to **Louis H. Iselin, Ph.D.**, Williams, Morgan & Amerson, P.C., 7676 Hillmont, Suite 250, Houston, TX 77040, and all telephone calls should be directed to **Louis H. Iselin, Ph.D.** at (713) 934-4089.

**FILING FEE CALCULATION**

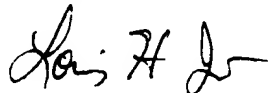
FOR			Small Entity	Large Entity
Total Claims	53 - 20	= 33	x \$9 = \$	or x \$18 = \$ 594.00
Independent Claims	14 - 3	= 11	x \$40 = \$	or x \$80 = \$ 880.00
Multiple Dependent Claim(s)			+ \$135 = \$	or + \$270 = \$
Basic Fee:			+ \$355 = \$	or + \$710 = \$ 710.00
Assignment Recording Fee:	(\$40 per assignee)		+ = \$	+ = \$ 40.00
<b>TOTAL FILING FEES</b>			<b>\$ 0.00</b>	<b>\$ 2,224.00</b>

Pursuant to 37 C.F.R. § 1.10 the Applicants request that the Patent and Trademark Office accept this application and accord a serial number and filing date as of the date this application is deposited with the U.S. Postal Service for Express Mail.

If the check is inadvertently omitted, or should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, or should an overpayment be included herein, the Assistant Commissioner is authorized to deduct or credit said fees from or to **Advanced Micro Devices, Inc.'s Deposit Account No. 01-0365/TT3766**. If there are insufficient funds in the **Advanced Micro Devices, Inc.'s Deposit Account No. 01-0365/TT3766** to cover said additional fees, the Assistant Commission is authorized to withdraw said additional fees from **Williams, Morgan & Amerson, P.C. Deposit Account No. 50-0780/TT3766**.

Please date, sign and return the enclosed postcard to evidence receipt of these materials.

Respectfully submitted,



Louis H. Iselin, Ph.D.  
Reg. No. 42,684

kd  
Encl:



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/853,226	05/11/2001	2131	2264	2000.039300/TT3766	73	53	15

CONFIRMATION NO. 6345

## FILING RECEIPT



\*OC00000006293022\*

Louis H. Iselin, Ph.D.  
Williams, Morgan & Amerson, P.C.  
7676 Hillmont, Suite 250  
Houston, TX 77040

Date Mailed: 07/13/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Geoffrey S. Strongin, Austin, TX;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 07/12/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

RECEIVED

NOV 11 2002

Technology Center 2100

RECEIVED

NOV 14 2002

OFFICE OF PETITIONS

## Title

Mechanism for closing back door access mechanisms in personal computer systems

Preliminary Class

713

Data entry by : IBRAHIM, SADIE

Team : OIPE

Date: 07/13/2001





**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

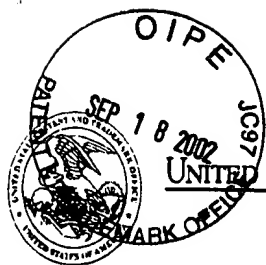
No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231



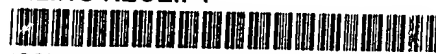
## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/853,226	05/11/2001	2131	2264	2000.039300/TT3766	9	53	15

CONFIRMATION NO. 6345

## FILING RECEIPT



\*OC000000006293022\*

Louis H. Iselin, Ph.D.  
Williams, Morgan & Amerson, P.C.  
7676 Hillmont, Suite 250  
Houston, TX 77040

Date Mailed: 07/13/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Geoffrey S. Strongin, Austin, TX;

RECEIVED

NOV 11 2002

Technology Center 2100

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 07/12/2001

Projected Publication Date: Request for Non-Publication Acknowledged

RECEIVED

NOV 14 2002

Non-Publication Request: Yes

Early Publication Request: No

OFFICE OF PETITIONS

## Title

Mechanism for closing back door access mechanisms in personal computer systems

## Preliminary Class

713

Data entry by : IBRAHIM, SADIE

Team : OIPE

Date: 07/13/2001





CERTIFICATE OF MAILING  
37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date below:

8/31/01  
Date

Kathy Hanes  
Signature

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
**GEOFFREY S. STRONGIN**

Serial No.: **09/853,226**

Filed: **05/11/2001**

For: **MECHANISM FOR CLOSING BACK  
DOOR ACCESS MECHANISMS IN  
PERSONAL COMPUTER SYSTEMS**

Group Art Unit: **2131**

Examiner: unknown

Atty. Dkt. No.: **2000.039300/TT3766**

RECEIVED

NOV 14 2002

REQUEST FOR CORRECTED FILING RECEIPT Technology Center 2100

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

A corrected filing receipt is hereby requested. For the convenience of the Office, attached is a photocopy of the original receipt on which the requested correction has been noted in red.

No fee is believed to be due, but, should any fee under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to this document, the Assistant Commissioner is hereby authorized to deduct said fee from **Williams, Morgan & Amerson's Deposit Account** No. 50-0786/2000.039300.

RECEIVED

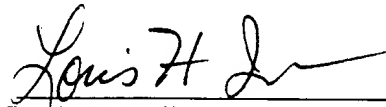
NOV 14 2002

OFFICE OF PETITIONS

Please date stamp and return the enclosed postcard evidencing receipt of these materials.

Applicant submits herewith a Preliminary Amendment providing the missing Application  
Serial Numbers for the priority claims.

Respectfully submitted,

A handwritten signature in dark ink, appearing to read "Louis H. Iselin", written over a horizontal line.

Louis H. Iselin  
Reg. No. 42,684

WILLIAMS, MORGAN & AMERSON  
7676 Hillmont, Suite 250  
Houston, Texas 77040  
(713) 934-4089

Date: August 30, 2001



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/853,226	05/11/2001	2131	2264	2000.039300/TT3766	[73]	53	15

Louis H. Iselin, Ph.D.  
Williams, Morgan & Amerson, P.  
7676 Hillmont, Suite 250  
Houston, TX 77040



CONFIRMATION NO. 6345

## FILING RECEIPT



\*OC000000006293022\*

Date Mailed: 07/13/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to the Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

RECEIVED  
NOV 11 2002

## Applicant(s)

Geoffrey S. Strongin, Austin, TX;

Technology Center 2100

Domestic Priority data as claimed by applicant

Foreign Applications

THIS APPLICATION IS A CIP OF 09/852,372 05/10/2001  
AND A CIP OF 09/852,942 05/10/2001

If Required, Foreign Filing License Granted 07/12/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

RECEIVED

NOV 14 2002

OFFICE OF PETITIONS

## Title

Mechanism for closing back door access mechanisms in personal computer systems

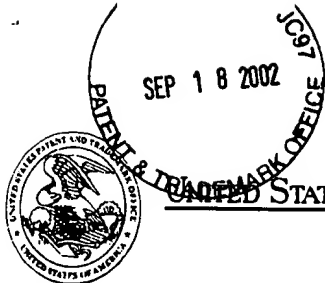
Preliminary Class

713

Data entry by : IBRAHIM, SADIE

Team : OIPE

Date: 07/13/2001



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/853,226	05/11/2001	2131	2264	2000.039300/TT3766	9	53	15

CONFIRMATION NO. 6345

## FILING RECEIPT



\*OC000000006293022\*

Louis H. Iselin, Ph.D.  
Williams, Morgan & Amerson, P.C.  
7676 Hillmont, Suite 250  
Houston, TX 77040

Date Mailed: 07/13/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

RECEIVED

NOV 12 2002

Technology Center 2100

## Applicant(s)

Geoffrey S. Strongin, Austin, TX;

Domestic Priority data as claimed by applicant

Foreign Applications

THIS APPLICATION IS A CIP OF 09/852,372 05/10/2001  
AND A CIP OF 09/852,942 05/10/2001

If Required, Foreign Filing License Granted 07/12/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

RECEIVED

NOV 14 2002

OFFICE OF PETITIONS

## Title

Mechanism for closing back door access mechanisms in personal computer systems

Preliminary Class

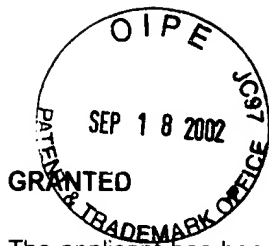
713

Data entry by : IBRAHIM, SADIE

Team : OIPE

Date: 07/13/2001





**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**RECEIVED**

**NOV 11 2002**

**Technology Center 2100**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/853,226	05/11/2001	2131	2264	2000.039300/TT3766	9	53	15

CONFIRMATION NO. 6345

## CORRECTED FILING RECEIPT



\*OC00000006540155\*

Louis H. Iselin, Ph.D.  
Williams, Morgan & Amerson, P.C.  
7676 Hillmont, Suite 250  
Houston, TX 77040

RECEIVED

NOV 12 2002

Technology Center 2100

Date Mailed: 09/10/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

**Applicant(s)**

Geoffrey S. Strongin, Austin, TX;

**Domestic Priority data as claimed by applicant**

THIS APPLICATION IS A CIP OF 09/852,372 05/10/2001  
AND A CIP OF 09/852,942 05/10/2001

**Foreign Applications**

If Required, Foreign Filing License Granted 07/12/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

**Title**

Mechanism for closing back door access mechanisms in personal computer systems

**Preliminary Class**

713





**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**RECEIVED**

**NOV 12 2002**

**Technology Center 2100**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

**RECEIVED**

**NOV 14 2002**

**OFFICE OF PETITIONS**